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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ryuji Ishiguro et al.

International Application No.: PCT/JP01/02866

Group Art Unit:

International Filing Date: 2 April 2001

Examiner:

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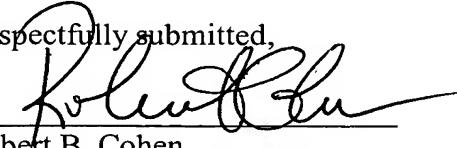
Dear Sir:

It is respectfully requested that the references listed on the enclosed Form PTO-1449 be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. These references were cited in the background section of the present specification and/or a corresponding International Search Report, a copy of which is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

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Respectfully submitted,

By 
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Substitute for form 1449A/PTO				Complete if Known	
				Application Number	Not Yet Assigned
				Filing Date	
				First Named Inventor	Ryuji Ishiguro
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	SONYJP-162

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A	US-5,748,736-	05-05-1998	Mittra	
	B	US-6,049,878-	04-11-2000	Caronni, et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
	C	JP-11-187013-A	07-09-1999	IBM Japan, Ltd.	**
	D	JP-11-250568-A	09-17-1999	Sony Corporation	**
	E	WIPO-01/03364-A1	01-11-2001	Matsushita Electric Ind., C.	✓
	F	WIPO-01/03365-A1	01-11-2001	Matsushita Electric Ind. Co	✓

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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	G	WALDVOGEL, M., et al., "The VersaKey Framework: Versatile Group Key Management", IEEE Journal on Selected Areas in Communications, Vol. 17, No. 9, pages 1614 to 1631, September 1999 (09.99), pages 1616, right column to page 1621, left column			✓
	H	WONG, C. K. et al., "Secure Group Communications Using Key Graphs" Proceedings of ACM SIGCOMM'98, pages 68 to 79, 02 September, 1998 (02.09.98), 3.4 Leaving a tree key graph (http://www.acm.org/sigcomm/sigcomm98/tp/technical.html)			✓

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